

Agent's/Intermediary's name \_\_\_\_\_  
 Agent's/Intermediary's contact phone no. \_\_\_\_\_  
 Agent's/Intermediary's code \_\_\_\_\_  
 Agency \_\_\_\_\_

## Request For Change Of Policyowner/Beneficiary Form

Please tick <input checked="" type="checkbox"/> appropriate box(es) for request		<input type="checkbox"/> New Request	<input type="checkbox"/> Reply
Policy Number:	Full Name of Insured:	Full Name of Policyowner:	

### Important Notes:

- Please submit copy(ies) of valid identity document(s) of new policyowner/new successor owner for our record.
- Due to change of policyowner, Payor of Child Protection Benefit (if any) will be deleted automatically. Please submit "Statement of Insurability" to apply the payor benefit for the new payor (if necessary).
- Change of policyowner cancels any prior record of successor owner but not beneficiary. If beneficiary is required to change, please complete Section J in this form.
- Only applicable to Qualifying Deferred Annuity Policies ("QDAP") - In the case that the policyowner is not the Annuitant at any time within the premium payment term, the eligibility for tax deduction may be affected. All the eligibility requirements set out under the Inland Revenue Ordinance and any guidance issued by the Inland Revenue Department of Hong Kong Special Administrative Region ("HKSAR") must be met before any tax deductions can be claimed. You should always refer to the website of the Inland Revenue Department (IRD) ([www.ird.gov.hk](http://www.ird.gov.hk)) or consult with a professional tax advisor if you have any doubts.

☐ Change of Policyowner ☐ Change/Addition of Successor Owner

### Section A - Personal Particulars of New Policyowner/Successor Owner

Name in English <sup>C</sup>	Name in Chinese <sup>C</sup>	Sex
Date of Birth <sup>C</sup> (dd/mm/yyyy) / /	Place of Birth <sup>B&amp;C</sup>	
Nationality <sup>A</sup>	Citizenship <sup>A</sup>	Residency <sup>A</sup>
No. of ID Card/Passport/Business Registration <sup>C</sup>		Relationship to Insured

Email Address: \_\_\_\_\_  
 The company will use this designated email for electronic communication. You can log-in eService/Customer Portal and review policy details.

Residential Address <sup>C</sup>			Mailing Address <sup>C</sup>
Room/Flat	Floor	Block	<input type="checkbox"/> Same as residential address
Building/Estate			<input type="checkbox"/> Please update as follows
No. and Name of Street/Road			
District <input type="checkbox"/> HK / <input type="checkbox"/> KLN / <input type="checkbox"/> NT			
Province/Country			
Postal Code			
Country & Area Code			Phone No.
Mobile <sup>B</sup>	( )		
Office <sup>B</sup>	( )		
Home <sup>B</sup>	( )		

**Section B - Occupation Details**

Name of Employer	Industry/Nature of Business
Occupation (Title)	Exact Duties
Workplace Address	

**Section C - Tax Residency (please select your tax residency(ies) (can select more than one)**

☐ Hong Kong<sup>C</sup> ☐ US<sup>A&B</sup> ☐ Others<sup>C</sup>

**Section D - Policyowner as PEP**

Are you a politically exposed person (PEP)<sup>D</sup>?

☐ Yes ☐ No

**Section E - Policyowner as Entity**

Are you passive non-financial entity (Passive NFE)? (This question is only applicable to the policyowner which is an entity)

☐ Yes ☐ No

If the answer above is "Yes", please complete the "Self-Certification Form - Controlling Person"(NB365). by controlling person(s) of the entity.

Details of "Passive NFE" and other relevant details can be found within the Inland Revenue Ordinance (Cap. 112 of the Laws of Hong Kong) ("IRO") or the website of Inland Revenue Department of Hong Kong.

**Section F - Policyowner Act on Behalf**

Are you i) acting on behalf of another person in making this application of the insurance policy; or ii) acting on behalf of another person, without limitation, as trustee, nominee or agent in making this application of the insurance policy?

☐ No

☐ Yes ; please state in what capacity : \_\_\_\_\_  
Please complete "Self-Certification Form - Controlling Person"(NB365).

**Section G - Intended Purpose(s) for Change of Policyowner**

☐ Asset Allocation ☐ Gift Offering ☐ Estate Planning

☐ Debt Restructuring ☐ Others, please specify\* : \_\_\_\_\_

**Section H - Source(s) of Funds for Insurance Premiums**

☐ Salary and benefits from full-time work ☐ Income from other part-time work ☐ Income from investments

☐ Accumulative savings ☐ Others (Please specify : \_\_\_\_\_ )

**Remarks**

<sup>A</sup> If you confirm that you are an US citizen or a resident in the US for tax purpose or your citizenship, residency or nationality is US, please provide a signed Form W-9 "Request for Taxpayer Identification Number and Certification" ("Form W-9").

<sup>B</sup> If you confirm that your place of birth, address or telephone number is in US, please provide (1) a signed Form W-8BEN "Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)"; (2) a valid government issued identification document evidencing the non-US citizenship; and (3) a copy of Certificate of Loss of Nationality of the United States or a valid government issued certificate of residence evidencing non-US residency.

<sup>C</sup> This information provided (if any) shall form part of Section I "Self-certification for Tax Residency". You are required to complete -"Self-certification for Tax Residency" if answer(s) in Section C for tax residency is/are "Hong Kong" and/or "Others".

<sup>D</sup> PEP includes:

- (a) an individual who is or has been entrusted with a prominent function by an international organization, and
  - (i) includes members of senior management, i.e. directors, deputy directors and members of the board or equivalent functions;
  - (ii) but does not include a middle-ranking or more junior official of the international organization;
- (b) a spouse, a partner, a child or a parent of an individual falling within paragraph (a) above, or a spouse or a partner of a child of such an individual; or
- (c) a close associate of an individual falling within paragraph (a) above.

<sup>E</sup> Beneficial Owner refers to a person who ultimately owns or controls, directly or indirectly, a policyowner on whose behalf a transaction is being conducted. It also includes those persons who exercise ultimate effective control over a legal person or arrangement.

**AGENT/INTERMEDIARY ACKNOWLEDGEMENT**

For any **change of policyowner/successor owner**, I/We have verified the original ID card/passport/business registration of the policyowner/successor owner and confirmed the identity details in the ID card/passport/business registration to be matched with the identity of the policyowner/successor owner in this request form. I/We will provide the required information and copies of the documents obtained in the course of carrying out customer due diligence to the Company without delay.

\_\_\_\_\_  
Name of Agent Agent Code Agency

\_\_\_\_\_  
Signature of Agent Sign Date (dd/mm/yyyy)

## Section I – Self-certification for Tax Reside

If answer(s) for tax residency is/are “Hong Kong” and/or “Others” in Section C, please complete the following table indicating (i) the country/jurisdiction of residence (including Hong Kong) where the policyowner is a tax resident and (ii) policyowner’s Taxpayer Identification Number (“TIN”) for each country/jurisdiction indicated. If the policyowner is a tax resident in more than three countries/jurisdictions, please use separate Self Certification Form to supplement. If policyowner is filling in this Section F on behalf of someone else, policyowner is required to tell the Company in what capacity in which policyowner is acting on behalf of another person by completing Section F above and/or the “Self-Certification Form – Controlling Person”(NB365) to furnish necessary information. To facilitate the completion of the table below, policyowner must read the Notes for Completion below carefully. Further details for the understanding of the said Notes and meaning of the terms can be found within the Inland Revenue Ordinance (Cap. 112 of the Laws of Hong Kong) (“IRO”) or the website of Inland Revenue Department of Hong Kong.

If the applicant/owner is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number (for individual) and the Hong Kong Business Registration Number (for entity).

If the applicant/owner is a tax resident of China, the TIN is the China Identity Card Number.

If the applicant/owner is a U.S. citizen, permanent resident (“Green Card” holder), or otherwise a U.S. tax resident, the TIN is the U.S. social security number.

### (a) Jurisdiction of Residence and Taxpayer Identification Number

Country/Jurisdiction of tax residence <sup>1</sup>	TIN	If no TIN available, please provide Reason A, B or C <sup>2</sup>	Please explain why you are unable to obtain a TIN if you selected Reason B <sup>2</sup>
I.			
II.			
III.			

<sup>1</sup> Pursuant to sub-section 3 of Section 50B of the IRO, the Company may collect information from the policyowner for identifying his/her tax residency even if he/she is a resident for tax purposes in a territory outside Hong Kong that is not a “Reportable Jurisdiction” as defined under Part 1 of Schedule 17E of the IRO. If the country/jurisdiction of tax residence(s) so provided herein is/are different from the country/jurisdiction of residential address/mailing address/workplace address as provided in this application form, please provide the explanation in Section (b) below.

<sup>2</sup> If a TIN is unavailable, please provide the appropriate reason **A, B or C where indicated below:**

- **Reason A** - The country/jurisdiction where the policyowner is a tax resident does not issue TINs to its tax residents.
- **Reason B** - The policyowner is otherwise unable to obtain a TIN or equivalent number. Please explain why a TIN is unable to be obtained in the above table if this reason is selected.
- **Reason C** - No TIN is required. (Note: Only select this reason if the domestic law and authority of the relevant jurisdiction of tax residence does not require the collection and disclosure of the TIN issued by such jurisdiction)

(b) Please provide explanation(s) if the country/jurisdiction of tax residence(s) so provided in the above table is/are different from the country/jurisdiction of residential address/mailing address/workplace address as provided in this request for change form:

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### Notes for Completion

The Inland Revenue Ordinance (Cap. 112 of the Laws of Hong Kong) (“IRO”) requires and authorizes the Company to collect and/or report certain information about the policyowner’s tax residence and the policy information for the purpose of automatic exchange of financial account information. Section C, E and I are intended to request and collect information consistent with the law requirements in Hong Kong.

**As a financial institution, the Company is not allowed to give tax advice.** If policyowner has any questions on policyowner’s tax residence status and/or in answering Section C, E and I, please seek advice from independent tax adviser.

Each jurisdiction has its own rules for defining tax residence, and jurisdictions have provided information on how to determine if policyowner is a tax resident in the jurisdiction. In general, policyowner will find that tax residence is the country/jurisdiction in which policyowner resides. Special circumstances may cause policyowner to be a tax resident elsewhere or a tax resident in more than one country/jurisdiction at the same time. For more information on tax residence, please consult a tax adviser or find the information at the Automatic Exchange of Information (“AEOI”) portal of the Organisation for Economic Co-operation and Development (“OECD”). Policyowner’s domestic tax authority may provide guidance regarding how to determine the tax status.

If policyowner’s tax residence is located outside Hong Kong, the Company may be legally obliged to pass on the information in this form and other required information with respect to the policyowner’s Policy to the Inland Revenue Department of Hong Kong (“IRD”) and they may exchange this information with tax authorities of another jurisdiction or jurisdictions in which the policyowner may be tax resident pursuant to intergovernmental agreements to exchange relevant account/policy information.

Kindly note that the information so provided under Section C, E and I serve as policyowner’s self-certification and will remain valid unless there is a change in circumstances relating to information, such as policyowner’s tax residence status or other mandatory field information, that makes the information incorrect or incomplete. In that case, policyowner must notify the Company and provide an updated self-certification. If there is any discrepancy or contradictory information are found during application/ due diligence process of the Company, the Company may clarify with policyowner and policyowner may be requested to provide an updated self-certification or provide explanation on the discrepancy if necessary. Failing to provide an updated self-certification or explanation to the Company, the Company may be required by law to provide the information in this Form and the other required information to the IRD.

## FATCA Declaration and Authorization

By signing this form, I/We, the Owner(s), declare that I/We understand and agree that:

- (1) Chubb Life Insurance Hong Kong Limited (the “**Company**”) is obliged to comply with the laws, regulations or orders (the “Requirements”) of local and/or foreign regulatory, tax, legislative, or judicial authorities, including but not limited to, the Inland Revenue Department of Hong Kong and the Internal Revenue Service of the United States of America (the “**Authorities**” and each an “**Authority**”) as promulgated and amended from time to time;
- (2) From time to time during the term of the Policy, the Company will:—
  - (i) request the owner, the beneficiary, the successor owner and/or the beneficial owner of the Policy to provide his/her personal data, information and supporting documents and to complete additional forms; and
  - (ii) to comply with the Requirements, report and/or disclose to the applicable Authorities information regarding the owner, the beneficiary, the successor owner and/or the beneficial owner of the Policy, Policy information and/or additional information (collectively the “**Information**”) including, but not limited to, the Internal Revenue Service of the United States and the Inland Revenue Department of Hong Kong.
- (3) I will immediately update the Company if any change of the Information and complete additional forms and provide additional information and documents at the Company request in support of the change;
- (4) Where there is a change in the owner, the beneficiary, the successor owner and/or the beneficial owner of the Policy, I will immediately provide to the Company the information and supporting documentation for the new owner, beneficiary, successor owner and/or beneficial owner;
- (5) I consent to the Company’s deducting and withholding the tax as required to withhold under the Requirements from payments made to or from the Policy account and remitting this to the Internal Revenue Service of the United States of America (“IRS”) to comply with the Requirements; and
- (6) Where I have an obligation under the Policy with respect to information relating to the beneficiary, successor owner and/or beneficial owner, I will use my best endeavours to procure that they will comply with that obligation with regard to their information including providing to the Company directly that information and supporting documentation and giving the Company their consent to the disclosure and transfer of that information and supporting documentation to the Authorities and deducting and withholding the tax as required to withhold under the Requirements and remitting this to the IRS. I further agree that the Company may contact the beneficiary, successor owner and/or beneficial owner directly for these purposes.

## CRS Declaration

By signing this Form, I/We, the Owner undersigned declare that I understand and agree that:

- (1) Chubb Life Insurance Hong Kong Limited (the “**Company**”) is obliged to comply with the laws, regulations or orders (the “Requirements”) of local regulatory, tax, legislative authorities, including but not limited to the Inland Revenue Department of Hong Kong (the “**Authorities**” and each an “**Authority**”) as promulgated and amended from time to time;
- (2) I/We have read and understood the Notes for Completion;
- (3) I/We acknowledge that from time to time during the term of the Policy, the Company will: (i) request the owner(s), the beneficiary, the successor owner and/or the beneficial owner of the Policy to provide his/her personal data, information and supporting documents and to complete additional forms; and (ii) to comply with the Requirements, report and/or disclose to the Inland Revenue Department of Hong Kong (“IRD”) information regarding the owner(s), the beneficiary, the successor owner and/or the beneficial owner of the Policy, Policy information and/or additional information (collectively the “**Information**”);
- (4) I/We will immediately update the Company of any change in circumstances which affect my/our tax residence status as certified in “Self-Certification for Tax Residency” in this Form or cause the information contained herein to become incorrect or incomplete, complete and provide additional information and documents including a suitably updated self-certification within 30 days of such change in circumstances in support of the change;
- (5) Where there is a change in the owner(s), the beneficiary, the successor owner and/or the beneficial owner of the Policy during the term of the Policy, I/We will immediately provide to the Company the information and supporting documentation for the new owner(s), beneficiary, successor owner and/or beneficial owner;
- (6) Where I/We have an obligation under the Policy with respect to information relating to the beneficiary, successor owner and/or beneficial owner, I/We will use my/our best endeavours to procure that they will comply with that obligation with regard to their information including providing to the Company directly that information and supporting documentation and giving the Company their consent to the disclosure and transfer of that information and supporting documentation to IRD. I/We further agree that the Company may contact the beneficiary, successor owner and/or beneficial owner directly for these purposes;
- (7) I/We acknowledge that the information furnished and contained in this form regarding the identification, jurisdictions of residence and tax identification number of me/us and any reportable Policy may be provided to IRD for exchange to the tax authorities of another country/ jurisdiction or countries/jurisdictions in which I/we may be tax resident pursuant to intergovernmental agreements to exchange financial account information;
- (8) I/We declare that all statements made in this declaration are, to the best of my knowledge and belief, true, correct and complete.

## Consent to disclose information to third party

I/WE, the Owner(s) further understand and consent that:

1. Any Information, Policy information and governmental/official documents and forms received from me/us containing my/our personal data collected under the Policy by the Company are provided and may be used, processed, stored, disclosed, transferred by the Company to the companies within the group of which the Company is a subsidiary (the “**Group Companies**”) and/or to any of the tax authorities for the compliance of the Requirements;
2. I/We am/are obliged to supply update, accurate and complete information and documentation as required under this declaration and this is a condition precedent for me/us to apply the Policy/request for change thereof;

**WARNING: It is an offence under section 80 (2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).**

**Section J – Change of Beneficiary**

Primary	Secondary	Beneficiary's Full Name in English	Relationship to Insured	Beneficiary's HKID/Passport/BR No.	Share ^ (%)
<input type="checkbox"/>	<input type="checkbox"/>				
<input type="checkbox"/>	<input type="checkbox"/>				
<input type="checkbox"/>	<input type="checkbox"/>				

If any policy change request will be subject to the consent of a beneficiary with an irrevocable interest in your policy, the written consent of such beneficiary is required.

^ Beneficiaries in the same class (primary or secondary) should share equally unless otherwise stated.

Total share for each class must be 100%.

**Declaration: I/WE HEREBY DECLARE AND AGREE THAT:**

1. The above request for policy change or services will not take effect unless the following conditions are met: (i) Any required payment and documents are submitted in full. (ii) The request is approved by Chubb Life Insurance Hong Kong Limited (hereinafter called “the **Company**”) during the lifetime and continued insurability of the Insured. 2. This request and evidence of insurability of the Insured if required by the Company shall be the basis for change in the Policy and will form part of the Policy unless otherwise specified. 3. All statements and answers to all questions whether or not written by my/our own hands are to the best of my/our knowledge and belief complete and true. 4. Any personal data collected or held by the Company (whether contained in this application or otherwise), is provided and may be used, stored, disclosed, transferred (whether within or outside Hong Kong) by the Company to its affiliated companies, reinsurers and claims investigation company, industry association/ federation, any members of the federation by the federation or any individuals/organizations associated with the Company to (i) process this application and claims; (ii) provide all services related to this application, administer the Policy and promote other financial products and services, perform direct marketing, and data matching, and communicate with me/us for such purposes; and (iii) enable the federation to carry out its regulatory functions or such other functions that may be assigned to the federation from time to time and are reasonably required in the interest of the insurance industry or any member(s) of the federation. I/We understand that failure to supply required information may result in the Company being unable to process this application. Moreover, the Company is hereby authorized to obtain access to and/or to verify any of my/our data with the information collected by the federation from the insurance industry. I/We understand that I/we have the right to obtain access to and to request correction of any personal information held by the Company or be given reasons for any refusal of access. I/We also understand that a reasonable fee may be charged by the Company for process of any access and any questions regarding personal data or access to personal data should be forwarded to the Company at 35/F, Chubb Tower, Windsor House, 311 Gloucester Road, Causeway Bay, Hong Kong or at the then registered office of the Company.

**PERSONAL INFORMATION COLLECTION STATEMENT**

Chubb Life Insurance Hong Kong Limited (“**Chubb Life HK**”, “**Company**”, “**we**”, “**us**”, “**our**”).

Chubb Life HK recognizes the importance of protecting your privacy and is fully committed to implementing and complying with the data protection principles under the requirements of the Personal Data (Privacy) Ordinance (Chapter 486), Laws of the Hong Kong Special Administrative Region and if applicable, the Personal Information Protection Law of the People's Republic of China.

**Personal Information we may collect**

In the course of us providing you with the insurance policy and related services (“**Services**”), we may from time to time and only to the extent necessary to provide the Services, collect your personal information including any sensitive personal information (with examples of such sensitive information as **bolded and underlined below**) for the purposes set out in this Personal Information Collection Statement (“**PICS**”). We may collect your personal information directly from you, or indirectly from other third parties in connection with the Services, including but not limited to when you complete or submit an application form, submit a claim, access our website, or participate in any of our and/or our partner's programs. The personal information we collect may include:- your personal identification information (e.g., your name, **identity document number**, nationality, citizenship, sex, date of birth, place of birth, **marital status**, residential address), contact information (e.g., residential phone number, workplace phone number, mobile phone number, mailing address, e-mail address), work and financial information (e.g., employer's name, industry/nature of business, workplace address, present occupation, exact duties, **income, credit information, financial details, bank account information, tax information**), policy information, claims history **biometric data, medical and health records, religion, specific social status, tracking/location information and, if applicable, data of minors** (collectively the “**personal information**”).

When you provide us with personal information about another person in connection with your application or insurance policy, which may include but is not limited to your dependents, the insured, the beneficiaries, your authorized representatives and any other individuals whom you have provided personal information of (“**relevant persons**”), you confirm you have obtained that relevant persons' consent and have authority to provide such personal information to us for the purposes stated in this PICS.

As a condition precedent to this application, you shall provide us with the required information of the form. If you do not provide us with the required information, this may result in us not being able to process your application, process claims or provide you with the Services.

**What we may use your Personal Information for**

By making the application and receiving the Services, you give us your consent to use, process, disclose, transfer, store and otherwise, share your and the relevant persons' personal information for any purpose related to the Services, and to communicate with you and the relevant persons for the purposes listed below (“**Purposes**”):

- (i) to process and evaluate this and any future application for the insurance policy;
- (ii) for policy administration, processing payments and premium collection;
- (iii) to conduct medical, security and underwriting checks;
- (iv) to assess insurance claims and to process payments;
- (v) to provide insurance products and related services;



- (vi) to promote and directly market to you as follows:
  - (i) **For Hong Kong customers only:** with your consent, to promote and directly market to you: (a) the insurance products and services of Chubb Life HK; (b) mandatory provident fund-related products/services sponsored by the third party providers connected with us; (c) insurance, financial or investment related products/services, rewards, loyalty, co-branding and/or other privileges programs offered by us, our affiliates, our co-branding partners, our business partners; **(please refer to Part VI of this application form)**;
  - (ii) **For Mainland China residents or I.D. card holders only:** with your consent, to promote and directly market to you rewards, loyalty, co-branding and/or other privileges programs offered by us, our affiliates, our co-branding partners, our business partners;
- (vii) to perform data matching and communicating with you and/or your relevant persons for such purposes;
- (viii) to cooperate with law enforcement bodies for law enforcement purposes, to prevent any serious threat to public safety; for police investigation purposes; or to comply with laws, rules, regulations, codes of practice, guidelines, or requirements imposed by or agreed with government or regulatory bodies; or for litigation;
- (ix) to apply registration of activities organized and/or sponsored by Chubb Life HK;
- (x) to enable industry associations, federations, government or regulatory bodies to carry out their functions and requirements that may be assigned to them from time to time as are reasonably required and in the interests of the insurance industry;
- (xi) to conduct research, surveys, data analytics and statistics, administration, communications, computer, security and other services (including medical services, mailing and IT services) in connection with the usual operations of the Company as a life insurance company; and
- (xii) for any other purpose directly relating to any of the above.

#### **Who we may share your personal information with**

You understand that we operate internationally and our services to you are, in particular, provided from Hong Kong or through our vendors outside of Hong Kong. If you do not consent to Chubb Life HK's transfer of your personal information outside of Hong Kong and/or Mainland China, this may result in us not being able to process your application, process claims or provide you with the Services. We may disclose, transfer or otherwise share your or the relevant persons' personal information, within or outside of Hong Kong and/or Mainland China., for the Purposes set out in this application, to the following transferees ("**Transferees**"):

- (i) any agents, insurance intermediaries, third party providers or administrators such as medical and healthcare providers, hospitals, in connection with the distribution of our products and services, placement or handling of your insurance policy and any related claims and/or services;
- (ii) reinsurers, claims investigators, loss adjudicators, medical advisors, recovery agents, debt collection agencies, credit reference agencies, law enforcement bodies and police, fraud prevention/detection agencies, organizations that consolidate underwriting and claims information for the insurance industry, and databases or registers (and their operators) used by the insurance industry to analyze and check information provided against existing information;
- (iii) any branch, subsidiary, holding company, associated company or affiliates of Chubb Life HK ("**Group Companies**") whether established in or outside of Hong Kong;
- (iv) any agents, contractors, advisors or third-party service providers providing accounting, finance, legal, payment, data processing and storage, administration, telecommunications, mailing, printing, computer, technology, security, analytics, research, funds management, regulatory screenings, customer services, call centre services, and/or other services in connection with our operations ; and
- (v) insurance industry associations and federations and government or judicial or competent regulatory bodies or any person to whom we have a legal or regulatory obligation to make disclosure.

**If you are a Mainland China resident or I.D. card holder**, you may refer to [https://www.chubb.com/content/dam/chubb-sites/chubb/hk-en/pdf/pipl\\_list\\_of\\_recipients.pdf](https://www.chubb.com/content/dam/chubb-sites/chubb/hk-en/pdf/pipl_list_of_recipients.pdf) for a list of Transferees to whom Chubb Life HK may share your data. The list of Transferees will be updated periodically.

We may also purchase or sell one or more business(es) (or portions thereof), and where permissible by applicable laws your or your relevant persons' personal information may be transferred as a part of such purchase or sale, or proposed purchase or sale.

#### **How we may store your personal information**

The personal information you provide to us will be stored in Hong Kong or other countries/regions outside the country/region where you are located. We will only retain your personal information for as long as necessary to achieve the purposes described above, unless there is a mandatory retention requirement by law.

#### **How we protect minors' personal information**

We attach great importance to the protection of personal information of minors. If you are acting for a minor under the age of 18 (or where applicable, defined in Mainland China as a minor if under the age of 14), the consent of the minor's parents or guardians should be obtained before using our Services. The parents or guardians should carefully read the PICS before providing us with the personal information of the minors.

#### **Your rights**

Subject to applicable laws and to the extent legal requirements are met, you may have the right to access, duplicate, or correct your personal information held by Chubb Life HK and, in certain circumstances, request that it be deleted. You may be able to withdraw your consent where we rely upon this to process your personal information if our processing relies on your consent. You may have the right to restrict or refuse the processing of your personal information in some circumstances. Please be aware that under certain circumstances, we may not be able to comply with such requests from you, in which circumstance we will notify you of the reason for such decision. We may also charge you a reasonable fee to process your data related request.

For more details of the Company's policies on personal information and privacy protection, please read the Chubb Life HK's Privacy Policy available at <https://www.chubb.com/hk-en/footer/chubb-life-privacy-policy.html>. Any questions regarding personal information, and the exercise of any of the personal information rights listed above, should be made in writing and submitted to:

- **For Mainland China residents or I.D. card holders:** Data Protection Officer of Chubb Life Insurance Hong Kong Limited at Enquiries. [prchkLife@chubb.com](mailto:prchkLife@chubb.com) and/or 35/F, Chubb Tower, Windsor House, 311 Gloucester Road, Causeway Bay, Hong Kong.
- **For all other customers:** Data Protection Officer of Chubb Life Insurance Hong Kong Limited at Enquiries. [HKLife@chubb.com](mailto:HKLife@chubb.com) and/or 35/F, Chubb Tower, Windsor House, 311 Gloucester Road, Causeway Bay, Hong Kong.

## Use of Personal Information Consent Statements in Mainland China

If you are a Mainland China resident or I.D. card holder, please complete this Part.

### FOR MAINLAND CHINA RESIDENTS OR I.D. CARD HOLDERS: Use of Personal Information Consent Statement

By signing this form and receiving the Services, you give consent to Chubb Life HK to process for the Purposes, and to disclose, transfer and otherwise share to the Transferees for processing for the Purposes, your and the relevant persons' personal information. You additionally acknowledge and consent to your and the relevant persons' personal information being provided, transferred to, or shared with another data controller, within or outside of Mainland China, for processing for the Purposes.

- ☐ I/We confirm that I/we have read, understood and agree with the Personal Information Collection Statement as set out in the previous part and this Part.
- ☐ I/We consent to the processing of my/our sensitive personal information as described in this form.
- ☐ I/We consent to my/our personal information being provided, transferred to, stored, used, shared with or processed outside of Mainland China as described in this form.
- ☐ I/We consent to my/our personal information being provided, transferred to, or shared with another data controller for processing as described in this form.

### Direct Marketing Consent Statements

In respect of use of your personal data for direct marketing purposes, Chubb Life Insurance Hong Kong Limited ("Chubb Life HK") may, with your written consent:

1. use your name, contact details and policy details ("**Relevant Data**") for direct marketing of the following classes of products and services that Chubb Life HK, our Group Companies, our co-branding partners or our business partners may offer:
  - (i) insurance, financial or investment related products/services,
  - (ii) rewards, loyalty, co-branding and/or other privileges programs related to health, wellness, medical, entertainment, media, social networking, recruitment, education, training, sport activities, travel, transportation, hospitality, household, food and beverages, telecommunications, charitable and non-profit making purposes.
2. provide your Relevant Data to any agents, contractors, or insurance intermediaries, for the purpose of carrying out direct marketing of the above classes of products or services on behalf of Chubb Life HK;
3. provide your Relevant Data to third party providers connected with us for their direct marketing of their mandatory provident fund-related products and services; and
4. for gain, provide your Relevant Data to any third-party providers or companies (within or outside our Group Companies) for their direct marketing of any of the classes of products or services as described under paragraph 1 above.

Chubb Life HK may only use your Relevant Data or disclose the same to the above specified transferees for direct marketing purposes with your written consent. Should you find such use of your Relevant Data not acceptable, please indicate your objection by selecting the opt-out box(es) below.

- ☐ I do not want Chubb Life HK to use my Relevant Data for direct marketing purposes.
- ☐ I do not want Chubb Life HK to share my Relevant Data with third party product/service providers (within or outside Group Companies) for direct marketing purposes.

If you have consented to direct marketing but later decide that you no longer wish to receive direct marketing, you may exercise the right to opt-out at any time by writing to: The Data Protection Officer of Chubb Life Insurance Hong Kong Limited at 35/F, Chubb Tower, Windsor House, 311 Gloucester Road, Causeway Bay, Hong Kong.

#### NOTE:

**Please do not sign on BLANK Form**

**Signature specimen must be consistent with that as in your policy record**

\_\_\_\_\_  
Signature of Witness (Name :

) \_\_\_\_\_  
Sign Date (dd/mm/yyyy)

\_\_\_\_\_  
Signature of Policyowner

\_\_\_\_\_  
\* Signature of NEW policyowner/successor owner  
(Name :

) \_\_\_\_\_  
Sign Date (dd/mm/yyyy)

\_\_\_\_\_  
Signature of Assignee  
(Only applicable if the policy has been assigned)

\_\_\_\_\_  
Signature of Irrevocable Beneficiary  
(Only applicable if the designated beneficiary is an  
Irrevocable Beneficiary)

\_\_\_\_\_  
Sign Date (dd/mm/yyyy)

**\* Signature is required for the person whose age is 18 or above**